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# Role of phototherapy, BAX gene expression in hyperbilirubinemia development in full-term neonates



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# **Abstract**

**Background:** Phototherapy is the main therapeutic interference for neonatal hyperbilirubinemia used to escape an exchange transfusion and to decrease the risk of bilirubin-induced encephalopathy (kernikterus). Phototherapy has an oxidative effect on cell components and cell membranes by enhancing peroxidation of lipid and damage to DNA. Many genes function as apoptosis regulatory genes. Examples of these genes involve the BCL2 gene as an anti-apoptotic oncogene, and the BAX gene which is a promoter of apoptosis.

We aimed to evaluate the effect of phototherapy on expression of BAX and Bcl2 genes in hyperbilirubinemic full-term neonates

Eighteen full-term neonates with indirect hyperbilirubinemia who received phototherapy for 24 h were enrolled as a study group and nine apparently healthy full-term neonates with a normal serum bilirubin level were included as a control group. Assessment of the anti-apoptotic effect(s) of BCL2 and the pro-apoptotic effect(s) of (Bax) genes was achieved by quantitative assay of their products (BCL2 and BAX proteins) by ELISA assay after phototherapy.

**Results:** Significant decrease in the bcl2 (p < 0.001) and increase in Bax protein (p < 0.001) serum levels after phototherapy in hyperbilirubinemic full-term neonates.

**Conclusion:** Hyperbilirubinemia has no apoptotic influence, while phototherapy induces apoptosis in the peripheral blood of hyperbilirubinemic full-term infants.

Keywords: Hyperbilirubinemic, Phototherapy, Apoptosis, bcl2 protein, Bax protein

# **Background**

The accumulation of unconjugated, nonpolar, lipid-soluble bilirubin pigment within the skin in the first days of life results in the development of jaundice. Neonatal jaundice (the yellow coloration of the white part of the eye and skin) occurs in 60% of term infants. Although low levels of bilirubin have a physiologic antioxidant role, higher levels of unconjugated bilirubin are probably neurotoxic [1].

Over the past 50 years, phototherapy was the primary noninvasive and easily available mode of treatment of indirect hyperbilirubinemia for prevention bilirubininduced neurotoxicity in newborn babies [2]. The light

of the photo changes the structure of the bilirubin molecule into water-soluble isomers that excreted without the need of hepatic conjugation [3]. Phototherapy has no serious side effects. Experimental and observational studies proved some genotoxic effects [4] and may lead to degeneration of the retina, diarrhea, dehydration, and skin rash [5]. Exposure of cells to visible light could induce single or double DNA strand breaks, sister chromatid exchange, and mutations. Oxidative modifications to DNA can lead to oxidative stress-related diseases such as necrotizing enterocolitis and patent ductus arteriosus in newborn infants and even increase the risk for future cancer development [6]. Moreover, phototherapy increase apoptosis in neonatal small intestine cells and the mouse lymphoma cell line [7]. Recently, neonatal jaundice and phototherapy were found to enhance apoptosis

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of peripheral blood lymphocytes from infants with hyperbilirubinemia, mostly through downregulation of BCL2 expression and upregulation of BAX gene expression [8]. Human cells use many strategies for the protection of genomic DNA from accumulating such lesions. As extensive damage blocks the cell cycle, progression so allows more time for DNA repair. However, apoptosis occurs in irreparable damage of the affected cells [9]. Two major signaling pathways had been identified leading to apoptosis. The mitochondria-dependent is governed by suppressing or inducing genes, such as BCL2 and BAX, respectively [10]. The death receptordependent is the other one, requiring the interaction of death receptors involving Fas/Fas l interaction receptorassociated death proteases with successive activation of downstream effector caspases [11]. The family of BCL2 proteins compromises a critical control point in apoptosis residing immediately upstream of irreversible cellular damage, and the main member of this family, BCL2, was initially found as the defining oncogene in follicular lymphoma [12]. They link with Bax and Bak domains, divide them, inhibit their oligomerization, and block the mitochondrial apoptosis pathway. Their overexpression strongly disrupts apoptosis due to cytotoxic damage by removing of free radicals, inhibition of mitochondrial canal formation, and the release of c cytochrome [13]. There are two genes (BCL2 and P53) that manage the process of apoptosis. A high concentration of BCL2 protein protects the cell from apoptosis [14].

# **Methods**

# **Patients**

This is a prospective observational study was conducted on 27 neonates in the first 2 weeks of life. Eighteen of them (12 males and 6 females) had indirect hyperbilirubinemia admitted to neonatal intensive care unit (NICU), from January 2016 to December 2017, and had received intensive phototherapy based on AAP guidelines with mean postnatal age 4.2 ± 1.9 days. Another 9 (6 males and 3 females) apparently healthy full-term neonates with normal serum bilirubin levels were enrolled as control group, with mean postnatal age of  $4.2 \pm 1.9$ days. Premature and low birth weight infants, neonates with birth asphyxia and sepsis, infants of diabetic mothers, neonates with congenital anomalies, and neonates with cholestatic jaundice all were excluded from the study. All patients and healthy controls were subjected to full perinatal history and thorough clinical examination. Complete blood count (CBC), reticulocyte count, liver enzymes and serum albumin levels, total and direct bilirubin, and RH and blood group to mothers and babies all were done to enrolled neonates (Table 1).

Serum Bcl2 and serum BAX protein levels were assayed by ELISA technique. One sample was taken at

**Table 1** Clinical and some laboratory parameter in hyperbilirubinemic and controls of neonates

	Full-term		p .
	Cases $N = 18$	Control $N = 9$	value
Age			
Range	1–8	1–7	0.979
Mean ± SD	4.2 ± 1.9	4.2 ± 1.9	
Median	4	4	
Sex			
Male	12 (66.7%)	6 (66.7%)	1
Female	6 (33.3%)	3 (33.3%)	
Weight			
Range	2.4-3.9	3–4	0.075
Mean ± SD	$3 \pm 0.4$	$3.3 \pm 0.4$	
Hb, g/dl			
Range	7.7–21.9	14.9–17.8	0.530
Mean ± SD	15.2 ± 3.2	$15.8 \pm 0.9$	
TLC			
Range	5.2-17.4	8–15	0.201
Mean ± SD	9.9 ± 3.1	$11.4 \pm 2.4$	
Platelets			
Range	123–769	180–360	0.719
Mean ± SD	297.7 ± 162.2	252.8 ± 58.4	
Median	267	250	
Reticulocyte coun	t, %		
Range	0.5-5.5	0.5-2.5	0.003*
Mean ± SD	3 ± 1.4	$1.5 \pm 0.6$	
Median	2.8	1.7	
ALT, u/l			
Range	15–49	11–33	0.458
Mean ± SD	24.9 ± 10.5	22 ± 7.3	
AST, u/l			
Range	13–55	24-40	0.335
Mean ± SD	35.1 ± 10.9	$31.2 \pm 6.3$	
Albumin, mg/dl			
Range	3–4.9	3–4.3	0.600
Mean ± SD	$3.8 \pm 0.5$	$3.7 \pm 0.4$	

<sup>\*</sup>Significant (p < 0.05)

the admission and another one after 24 h of intensive phototherapy exposure (Bilisphere 360).

# Sampling

Five milliliters of peripheral venous blood was withdrawn under complete aseptic technique withdrawn before phototherapy: 1 ml was withdrawn in EDTA vacutainer for CBC and reticulocyte count; 2 ml was withdrawn in plain vacutainer for serum bilirubin level (total and direct bilirubin), RH and blood group, liver enzymes, and serum albumin level; and 2 ml were taken in EDTA vacutainer for plasma BCL2 level and BAX protein level (human B cell leukemia/lymphoma 2 (Bcl-2) ELISA Kit and human Bcl-2-associated X protein (Bax) ELISA Kit).

After phototherapy, 4 ml of peripheral blood was withdrawn, 2 ml in EDTA vacutainer for plasma BCL2 and BAX protein level and 2 ml withdrawn in plain vacutainer for serum bilirubin level (Table 2).

**Table 2** Comparison between hyperbilirubinemic neonates and controls in full-term neonates before and after phototherapy

	Full-term		p value
	Cases	Control	
	N = 18	N = 9	
T.B. (mg/dl) pre			
Range	7.6–26.1	0.7-2	< 0.001*
Mean ± SD	19.6 ± 4.7	$1.4 \pm 0.5$	
T.B. (mg/dl) post			
Range	5.1-20.6	0.7–2	< 0.001*
Mean ± SD	14.1 ± 4.3	$1.4 \pm 0.5$	
D.B. (mg/dl) pre			
Range	0.5-4.7	0.1-0.5	< 0.001*
Mean ± SD	$1.3 \pm 0.9$	$0.3 \pm 0.2$	
Median	1.2	0.3	
D.B. (mg/dl) post			
Range	0.3-8.7	0.1-0.5	< 0.001*
Mean ± SD	1.6 ± 1.8	$0.3 \pm 0.2$	
Median	1.2	0.3	
BCL2 pre			
Range	206-657	409–588	0.310
Mean ± SD	451.2 ± 132.6	499.8 ± 61.8	
BCL2 post			
Range	91–572	409–588	0.001*
Mean ± SD	315.3 ± 134.1	499.8 ± 61.8	
BAX pre			
Range	28–70	28-62	0.461
Mean ± SD	49.7 ± 11	46.3 ± 10.7	
Median		48	
BAX post			
Range	58–96	28–62	< 0.001*
Mean ± SD	76.3 ± 9.6	46.3 ± 10.7	
Median		48	

<sup>\*</sup>Significant (p < 0.05)

# **Phototherapy**

The type of phototherapy used in the study is intensive phototherapy systems which consisted of 12 white fluorescent tubes (Philips TL03, Ontario, Canada) placed within 20 cm under and above the infant's front and back. The infants were put naked, excluding a diaper and eye patches, in an incubator or intensive phototherapy unit (Bilicrystal, Medes-time, or Bilisphere 360, Marcinelle, Belgium). The light energy of the phototherapy units was  $30-34~\mu \text{W}$  cm -2~nm-1 in the 430-490-nm band. Phototherapy was uninterrupted to jaundiced neonates except during feeding and care.

# **Bcl2** protein assaying

This kit from the Philippines uses enzyme-linked immune sorbent assay (ELISA) based on biotin double antibody sandwich technology to assay human B cell leukemia/lymphoma 2 (Bcl-2), by adding B cell leukemia/lymphoma 2 (Bcl-2) to wells that are precoated with B cell leukemia/lymphoma 2 (Bcl-2) monoclonal antibody and then incubated at 37 °C for 60 min. After incubation, anti-B cell leukemia/lymphoma 2 (Bcl-2) antibodies labeled with biotin to unite with streptavidin-HRP were added, which forms the immune complex (adding 50 µl standard and streptomycin-HRP 50 μl, 40 μl sample, and then 10 μl Bcl-2 antibodies, 50 μl streptavidin-HRP; then covering it with seal plate membrane, shaked gently to mix). Removing unbound enzymes after incubation and washing, then adding 50 μl chromogen solution A to each well and then add 50 µl chromogen solution B to each well, shaked gently to mix and incubated for 10 min at 37 °C away from light for color development were done. The solution turned blue and changed to yellow with the effect of acid. The shades of the solution and the concentration of human B cell leukemia/lymphoma 2 (Bcl-2) are positively correlated [15].

# **BAX** protein assaying

This kit from the Philippines uses enzyme-linked immune sorbent assay (ELISA) based on biotin double antibody sandwich technology to assay human Bcl-2 associated X protein (Bax). Add Bcl-2 associated X protein (Bax) to wells that are pre-coated with Bcl-2 associated X protein (Bax) monoclonal antibody and then incubated at 37 °C for 60 min. After incubation, add anti-Bcl-2 associated X protein (Bax) antibodies labeled with biotin to unite with streptavidin-HRP, which forms the immune complex (adding 50  $\mu$ l standard and streptomycin-HRP 50  $\mu$ l, 40  $\mu$ l sample and then 10  $\mu$ l Bax antibodies, 50  $\mu$ l streptavidin-HRP). Remove unbound enzymes after incubation and washing, then add 50  $\mu$ l chromogen solution A to each well, and then add 50  $\mu$ l chromogen solution B to each well. Shake to mix. Then incubate for 10

min at 37 °C away from light. The solution will turn blue and change to yellow with the effect of acid. The shades of the solution and the concentration of human Bcl-2-associated X protein (Bax) are positively correlated [15].

# Statistical analysis

The gathered data were coded, tabulated, and statistically analyzed using SPSS program (Statistical Package for Social Sciences) software version 25. Parametric quantitative data were expressed by mean, standard deviation, and minimum and maximum of the range. Nonparametric data were expressed by a median, while for absolute data by number and percentage. Independent samples T test was used for comparison between two parametric groups, Mann-Whitney test for nonparametric groups, paired sample T test for parametric quantitative data between the two times within each group and Wilcoxon signed-rank test for nonparametric quantitative data. Fisher's exact test (if expected number per cell < 5) qualitative data using and chi-squared test (if expected number per cell > 5) were used. Correlation between laboratory data was done using Pearson's correlation coefficient. The level of significance was taken at (p < 0.05).

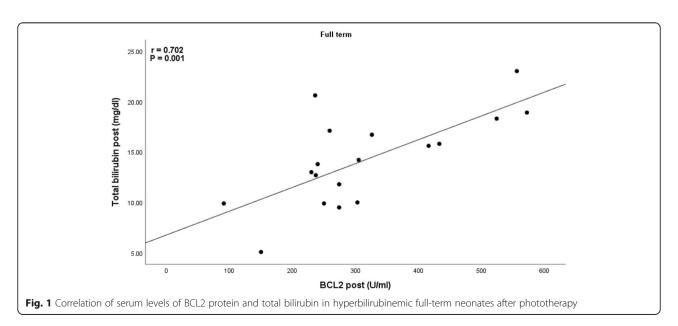
# **Results**

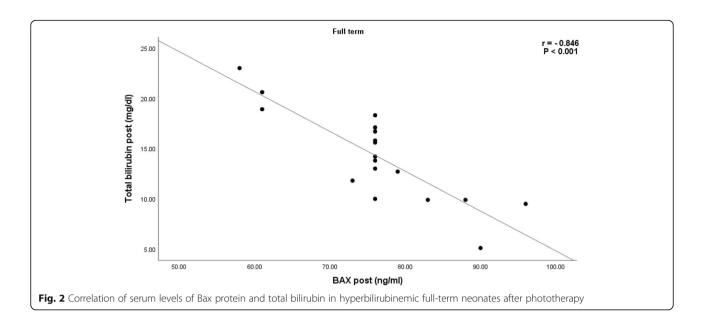
Clinical and laboratory data did not differ between groups regarding CBC, liver enzymes, and serum albumin levels while reticulocyte count was significantly increased in hyperbilirubinemic neonates before phototherapy compared to controls (3  $\pm$  1.4 vs 1.5  $\pm$  0.6, p = 0.003). There is a significantly higher level of total and direct bilirubin (p < 0.001) before and after phototherapy in hyperbilirubinemic full-term neonates when compared with controls.

There was no significant difference in bcl2 and Bax protein levels before phototherapy (p > 0.05) between hyperbilirubinemic full-term neonates and controls. There is a significant decrease in the serum levels of bcl2 after phototherapy (p < 0.001) (Fig. 1) and serum levels of Bax protein after phototherapy (p < 0.001) (Fig. 2). There was a significantly positive correlation between serum levels of Bcl2 and total bilirubin after phototherapy (r = 0.702, p < 0.001) while a significantly negative correlation was found after phototherapy (r = -0.846, p < 0.001) (Table 3).

# **Discussion**

There was no significant difference in BCL2 and Bax serum levels between hyperbilirubinemic full-term neonates and controls before phototherapy. Also, there was no significant correlation between total bilirubin, BCL2, and bax protein before phototherapy, so hyperbilirubinemia did not influence apoptosis in the peripheral blood of hyperbilirubinemic full-term neonates. The serum level of BCL2 protein was lower between the cases before phototherapy compared to controls explaining that bilirubin and phototherapy had genotoxic effects [8]. A high level of bilirubin may conduct to oxidative damage in newborns as photochemical reactions may produce toxic photoproducts, probably peroxides [16, 17], agreeing the results of Yahia et al [18] that found no significant difference in P53 level (marker of apoptosis) in the tested groups before exposure to phototherapy. Hyperbilirubinemia lacks the ability to induce any genotoxic effects on DNA in jaundiced neonates. Bilirubin has a major physiological antioxidant role, responsible for its cytoprotective feature mediated by its sacrificial oxidation [19]. After phototherapy, lower serum compared to their levels before and serum levels of bax proteins were





significantly higher compared to their levels before; in hyperbilirubinemic full-term neonates, all can be attributed to an increase in DNA damage and a concomitant increase of rate of apoptosis, clarified by BCL2 downregulation and increased BAX gene expression. Also, P53 levels significantly increase after exposure to phototherapy [8, 18]. PUVA phototherapy influences evident downregulation of BCL2 level and produces early significant depletion of epidermal and dermal T cells in psoriatic tissues by the induction of apoptosis [20]. The

**Table 3** Comparison between hyperbilirubinemic full-term neonates before and after phototherapy as regard to total and direct bilirubin, serum levels of BCL2 protein, and serum levels of Bax protein

	Full-term cases		p value
	Pre	Post	
T.B.			-
Range	7.6–26.1	5.1-20.6	< 0.001**
Mean ± SD	19.6 ± 4.7	14.1 ± 4.3	
D.B.			
Range	0.5-4.7	0.3-8.7	0.447
Mean ± SD	1.3 ± 0.9	1.6 ± 1.8	
Median	1.2	1.2	
BCL2			
Range	206-657	91–572	< 0.001**
Mean ± SD	451.2 ± 132.6	315.3 ± 134.1	
BAX			
Range	(28-70)	(58–96)	< 0.001**
Mean ± SD	49.7 ± 11	$76.3 \pm 9.6$	
Median			

p value is considered significant if less than 0.05, \*\*Highly significant

effects of phototherapy noted were increased in the apoptosis of polymorphonuclear cells which leads to a decreased number of neutrophil anti-apoptotic factors and neutrophils in areas of inflammation [21].

The critical difference can be justified by different stages of apoptosis process and duration. The P53 level and plasma Bcl-2 and BAX gene expression are signals affecting apoptotic responses, and any changes in their levels are associated with apoptosis. Moreover, the duration of apoptosis may range from 12 to 24 h, depending on the stimulant and cell type [22, 23]. Another interesting study demonstrated that hyperbilirubinemia is not associated with DNA strand breaks, which are a well-known type of DNA damage; both conventional and intensive phototherapy treatments increased damage to DNA. The duration, but not the strength, of phototherapy correlates with the extent of DNA damage [24].

Phototherapy, although decreasing hyperbilirubinemia, may cause oxidative injury to the red cell membrane and increases the levels of lipid peroxidation products. Excess amounts of free oxygen radicals can induce injury to host cells and may influence DNA strand breaks [25]. Finally, the hematopoietic system, particularly the lymphoid lineage, is very vulnerable to DNA damage and organisms depend on apoptosis for the removal of damaged cells. Excess BCL2 beats the apoptosis influencing the effect of P53 protein, so the alleviation of cell cycle stopped by a decreased level of BCL2, potentiates P53-induced apoptosis [26].

# Limitation

Our study has some limitations that require consideration. We did not use conventional phototherapy so we cannot decide if the intensity of phototherapy has a role or not. Only one blood sample after 24 h of exposure could be taken so we cannot decide if the duration has extensive damage or not.

# Conclusion

Hyperbilirubinemia does not affect apoptosis but intensive phototherapy can do in full-term neonates as there were downregulation of anti-apoptotic protein (bcl2) and upregulation of the pro-apoptotic protein (Bax).

### Ahhreviations

AAP: American Academy of Pediatrics; BAD: BCL-2-associated death promoter protein; Bak: BCL-2 homologous antagonist/killer protein; Bax: Bcl-2-associated X protein; Bcl2: B cell leukemia/lymphoma 2; CBC: Complete blood count; DNA: Deoxyribonucleic acid; EDTA: Ethylenediaminetetraacetic acid; ELISA: Enzyme-linked immune sorbent assay; NICU: Neonatal intensive care unit; PUVA: Psoralen plus ultraviolet A; SPSS: Statistical Package for Social Sciences

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# Authors' contributions

All authors contributed to the data interpretation and manuscript writing. MA, and AA conceptualized designed the study and contributed to the data interpretation. EA supervised the laboratory analysis. MR contributed to the conceptualization and the writing of the drafted manuscript and selected cases and clinical data collection. All authors read and approved the final manuscript.

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# Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

# Ethics approval and consent to participate

The study was conducted according to the principle Helsinki and was approved by the scientific ethics committee of the Faculty of Medicine, Minia University approval no (205:4/2019). Written informed parental consent was obtained for each patient before enrollment.

# Consent for publication

Not applicable

# Competing interests

The authors declare that they have no competing interests.

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