- Genomic and phenotypic analyses of *Acinetobacter baumannii* isolates from three tertiary care hospitals in Thailand

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#### 36 ABSTRACT

Antibiotic resistant strains of Acinetobacter baumannii are responsible for a large and 37 increasing burden of nosocomial infections in Thailand and other countries of Southeast Asia. 38 New approaches to their control and treatment are urgently needed and an attractive strategy is 39 to remove the bacterial polysaccharide capsule, and thus the protection from the host's immune 40 system. To examine phylogenetic relationships, distribution of capsule chemotypes, acquired 41 42 antibiotic resistance determinants, susceptibility to complement and other traits associated with systemic infection, we sequenced 191 isolates from three tertiary referral hospitals in Thailand 43 and used phenotypic assays to characterise key aspects of infectivity. Several distinct lineages 44 were circulating in three hospitals and the majority belonged to global clonal group 2 (GC2). 45 Very high levels of resistance to carbapenems and other front-line antibiotics were found, as 46 were a number of widespread plasmid replicons. A high diversity of capsule genotypes was 47 encountered, with only three of these (KL6, KL10 and KL47) showing more than 10% 48 49 frequency. Almost 90% of GC2 isolates belonged to the most common capsule genotypes and were fully resistant to the bactericidal action of human serum complement, most likely 50 protected by their polysaccharide capsule, which represents a key determinant of virulence for 51 52 systemic infection. Our study further highlights the importance to develop therapeutic 53 strategies to remove the polysaccharide capsule from extensively drug-resistant A. baumanii during the course of systemic infection. 54

### 55 **INTRODUCTION**

*A. baumannii* is an opportunistic pathogen that can cause potentially lethal nosocomial infections (Howard et al., 2012). These are frequently a result of trauma, surgery, catheterisation or endotracheal intubation (Chopra et al., 2014), and *A. baumannii* can escape the local immune reaction by evading neutrophils, macrophages and complement (C') (Russo et al., 2008; García-Patiño et al., 2017). This immune escape therefore necessitates the use of antimicrobials, and the key determinant of clinical outcome of *A. baumannii* infection is treatment failure due to the high number of antibiotic resistant strains (Wong et al., 2017).

63 Multidrug resistant (MDR) strains of A. baumannii have spread rapidly over recent decades (Zarrilli et al., 2013; Hamidian and Nigro, 2019). The high prevalence of strains resistant to 64 nearly all antibiotics, especially well-tolerated cephalosporins and carbapenems, has led to the 65 66 revival of drugs considered to be of last resort such as polymyxins (Falagas and Kasiakou, 2005; Sahbudak Bal et al., 2018) for systemic administration. However, resistance to colistin 67 is now more prevalent and polymyxins are now used less widely due to serious side effects 68 associated with these agents (Sahbudak Bal et al., 2018). As a consequence, the World Health 69 Organisation has identified carbapenem-resistant A. baumannii (CRAB) as the greatest 70 bacterial threat to global human health and the top priority pathogen for development of new 71 antibiotics (Tacconelli et al., 2018). 72

Recent surveillance data indicates that A. baumannii causes under 2% of healthcare associated 73 infections in the USA (Sievert et al., 2013; Bulens et al., 2018) but prevalence is much higher 74 in Southern and South Eastern Asia, where it is frequently the major nosocomial infectious 75 76 agent (Suwantarat and Carroll, 2016). The burden of A. baumannii infection is particularly severe in Thailand, with isolates accounting for 15-16% of hospital-acquired bacteraemia cases 77 and displaying very high levels (70-88%) of carbapenem resistance, and mortality rates in 78 excess of 60% due to MDR A. baumannii bacteraemia (Chaisathaphol and Chayakulkeeree, 79 2014; Suwantarat and Carroll, 2016; Hsu et al., 2017; Hongsuwan et al., 2014; Sirijatuphat et 80 al., 2018). Presence of the over-expressed carbapenemase  $bla_{0XA-23}$ , or  $bla_{0XA-51}$  in 81 combination with IS elements, account for most of the CRAB phenotypes (Figueiredo et al., 82

- 2009; Teo et al., 2015; Wong et al., 2017). Molecular typing identified three European clones;
  two have spread globally and are now identified as GC1 and GC2 (Higgins et al., 2010;
- Hamidian and Nigro, 2019) and the majority of isolates from Asia belong to global clone 2
- 86 (GC2) (Kim et al., 2013; Kamolvit et al., 2015).

The large majority of A. baumannii strains produce a substantial capsular polysaccharide that 87 protects them from external threats (Kenyon and Hall, 2013), and an attractive treatment option 88 is enzymatic removal of the protective capsules (Merabishvili et al., 2017; Mushtaq et al., 2004; 89 Lin et al., 2014; Negus et al., 2015); capsule-free mutants were highly susceptible to C'-90 mediated attack (Lees-Miller et al., 2013), in marked contrast to their encapsulated parent 91 strains. A major advantage of this approach is that it circumvents the accumulation of antibiotic 92 resistance determinants, but has the potential disadvantage that variation of the capsular 93 polysaccharide may limit the utility of individual depolymerases as found in bacteriophages or 94 other organisms, which typically hydrolyse only one or a limited number of capsular types 95 (Hernandez-Morales et al., 2018; Oliveira et al., 2017; Singh et al., 2018). 96

- 97 We report a detailed characterisation of 191 recent isolates from three major hospitals in 98 Thailand using whole-genome sequencing and functional assays, with particular reference to
- their surface properties and antibiotic resistance profiles. We also sought to identify factors that
- 100 contribute to the capacity of GC2 isolates to cause infection through increased virulence
- 101 (Zarrilli et al., 2013), using genomic data and bioassays, in relation to the role of the capsule in
- the determination of resistance to C'-mediated attack.

# 103 METHODS

## 104 Bacterial isolates

A total of 191 A. baumannii isolates were cultured from wound pus, sputum, urine, blood, and 105 excised tissue at the clinical microbiology laboratories of three tertiary referral hospitals in 106 Thailand (Figure 1A). Bacteria were initially identified by routine biochemical tests 107 108 implemented for identification of Gram-negative bacteria. Species were further confirmed by whole-genome sequencing and sequence typing as below. The hospitals were Thammasat 109 110 University Hospital, Pathum Thani Province (47 isolates; April 2016), Siriraj Hospital, Bangkok (84 consecutive isolates; April 2016) and Songklanagarind Hospital, Hat Yai, 111 Songkhla Province (60 isolates; August 2016). Siriraj is the largest hospital in Thailand with 112 2,300 beds, 1,000,000 outpatients per annum and 80,000 inpatients per annum; equivalent 113 figures for Songklanagarind are 846, 1,019,375 and 40,936 and for Thammasat 601, 384,088 114 and 40,745 (data from 2017). Details of these isolates are given in Supplementary Table S1. 115 Susceptibilities to clinically relevant antibiotics were determined using the Vitek 2 system 116 (Bosshard et al., 2006). 117

# 118 Genome sequencing, assembly and annotation

Genomic DNA was extracted and sequenced using Illumina-B HiSeq X paired-end sequencing. 119 Annotated assemblies were produced according to (Page et al., 2016a). Sequence reads were 120 assembled de novo with Velvet v1.2 (Zerbino and Birney, 2008) and VelvetOptimiser v2.2.5 121 (Seemann and Gladman). Reads were annotated using PROKKA v1.11 (Seemann, 2014). The 122 stand-alone scaffolder SSPACE (Boetzer et al., 2011) was used to refine contig assembly; 123 sequence gaps were filled using GapFiller (Boetzer and Pirovano, 2012). Genomes with greater 124 than 5% contamination levels as determined by Kraken (Wood and Salzberg, 2014), fully 125 assembled genomes of less than 4.5 Mpb or comprising 500 or more contigs were removed. 126 Putative genomes with less than 60% sequence similarity with the reference genome were 127 assessed with CheckM (Parks et al., 2015) for genome completeness and contamination; 128

isolates with greater than 3% contamination levels were excluded from the study. SNPs were 129 called against the A. baumannii reference genome to identify heterozygous SNPs, and isolates 130 with more than 2% were removed from further analysis (Page et al., 2016a), resulting in the 131 191 genomes analysed in this study. As we could also observe several gdhB duplicate 132 sequences, a known problem of the Oxford MLST scheme (Bartual et al., 2005; Gaiarsa et al., 133 2019), sequence types were assigned and are reported only based on the Pasteur scheme 134 (Diancourt et al., 2010; J. Page et al., 2016). Novel sequence types were assigned for non-135 typeable isolates through the PubMLST (Supplementary Table S1), three isolates could not 136

be assigned as the assemblies were missing one allele.

#### 138 **Phylogenetic analyses**

139 The pan genome for the global and Thai isolate analyses was determined with Roary (Page et

al., 2015) using a Protein BLAST identity of 95% and a core definition of 99%. SNPs were
 extracted from the core gene alignment using SNP sites (Page et al., 2016b) and the output used

extracted from the core gene alignment using SNP sites (Page et al., 2016b) and the output used
to run RAxML v8.2.8 (Stamatakis, 2014) to calculate the phylogenetic tree with 100 bootstraps

- 142 under the GTR time-reversible model. The resulting alignment for the global dataset was also
- used to determine pairwise SNP distances with the dist.gene function from the ape package in
- 144 used to determine pairwise SNT distances with the dist.gene function from the ape package in 145 R (Paradis et al., 2004). To place our isolates in a broader context, we compared them with
- recently published sequence data of *A. baumannii* causing ventilator-associated pneumonia in
- the intensive care unit of a Vietnamese hospital, in addition to data from several other published
- 148 studies (Supplementary Table S2).

### 149 Antibiotic resistance and traits associated with infection

Antibiotic resistance genes were detected with the curated version of the ARG-ANNOT 150 database available at the SRST2 site (Inouye et al., 2014; Gupta et al., 2014), rpoB SNP 151 mutations were assessed comparing the sequences against described resistance mutations 152 (Giannouli et al., 2012; Pérez-Varela et al., 2017), and virulence factors with VFDB (Chen et 153 al., 2016), using the read-based search program ARIBA (Hunt et al., 2017). Plasmid replicons 154 were detected with a custom database composed of 30 genes involved in plasmid replication, 155 stabilisation and mobilisation from Acinetobacter plasmids (Bertini et al., 2010; Salto et al., 156 2018); some additional plasmids (Gao et al., 2011; Hamidian et al., 2012; Zhang et al., 2013; 157 Jones et al., 2014; Hamidian et al., 2016; Hamidian et al., 2017; Blackwell and Hall, 2017) 158 were also included (full database Supplementary file S1); and analyses were undertaken using 159 ARIBA software v2.12.1 (Hunt et al., 2017). To account for potential variation in surface 160 proteins or other virulence factors, a custom-made collection of A. baumannii virulence factors 161 (Table S6) was searched against our isolates using phmmer (Eddy, 2011; Weber et al., 2015; 162 Lee et al., 2017; Scott et al., 2014; Eijkelkamp et al., 2011; Eijkelkamp et al., 2014; Harding et 163 al., 2013). Representations of trees and metadata were performed using iTOL (Letunic and 164 Bork, 2016) and the ggplot2 and ggtree packages in R (Wickham, 2009; Yu et al., 2018). KL 165 and OCL genotypes of our isolates were identified using the capsule identification program 166

167 kaptive, based on a curated *A. baumannii* specific database (Wyres et al., 2019; **Table S1**).

# 168 C' susceptibility

169 Commercial (MP Biomedicals UK) pooled human serum was stored and used to determine

susceptibility to C', essentially as previously described (Loraine et al., 2018). Early mid logarithmic-phase Luria-Bertani (LB) broth cultures of *A. baumannii* were washed three times

with 200  $\mu$ l of gelatin-veronal-buffered saline containing Mg<sup>2+</sup> and Ca<sup>2+</sup> (GVB<sup>++</sup>; pH 7.35) and

- suspended in 400  $\mu$ l of GVB<sup>++</sup>. The suspensions (200  $\mu$ l) were mixed with 390  $\mu$ l of pre-
- warmed ( $37^{\circ}$ C) normal human serum to give a final concentration of ~1 x 10<sup>6</sup> CFU, the
- mixtures incubated at  $37^{\circ}$ C for 3 h and bacteria quantified by serial dilution and overnight

incubation on LB agar (see Supplementary Table S3 for all raw data). The 45 GC2 isolates 176 were exposed to 66% normal human serum and enumerated bacterial survivors over a 3 h 177 incubation period (Malke, 1986). Isolates were assigned to one of three categories: resistant 178 (R), showing no (or only transient) reduction in viable count during the incubation period; 179 delayed susceptible (DS), displaying significant (~90%) survival after 1 h and low survival 180 (<10%) after 3 h incubation; the inocula of rapidly susceptible (S) isolates were reduced to 181 below 10% after 1 h incubation. All experiments were performed in duplicate and results 182 expressed as percent survival over this time period. Pre-warmed, heat-inactivated human serum 183 (56°C, 30 min) served as control. All raw data is given in Supplementary Table S3. 184

### 185 Capsule measurements

The size of the capsule for each isolate was determined by negative staining with India ink, 186 microscopic imaging and calculation of the area occupied by the capsule using CellProfiler 187 image analysis software (v3.1.9; Lamprecht et al., 2007). One bacterial colony was 188 resuspended in PBS and mixed in a 1:1 ratio with India Ink stain (BD India Ink Reagent 189 Dropper) and applied to a microscope slide with a coverslip. Microscopic imaging with a Zeiss 190 Axiostar plus transmitted light microscope fitted with an Olympus SC30 digital camera and 191 using a 100× oil immersion lens and embedded scale bar. All raw data is given in 192 Supplementary table S4. 193

194

## 195 Motility

196 Swarming and twitching motility were assayed by the subsurface agar method (Clemmer et al., 2011) using LB broth containing either 0.4 or 0.8% agar. Briefly, freshly grown cultures of A. 197 baumannii were stabbed to enable spread of bacteria on the surface of 0.4% agar plates for 198 199 swarming motility and the interphase between the bottom of the Petri dish and the 0.8% agar layer for twitching motility. The plates were incubated at 37°C for 48 h: positive swarming 200 motility was defined as a zone greater than 10 mm around the site of inoculation. For twitching 201 motility at the interstitial surface between the agar and the petri dish, the agar was discarded, 202 and bacteria visualised by staining stained with 0.2% crystal violet. Positive twitchers were 203 defined as those cultures that showed a zone diameter greater than 5 mm. Assays were 204 performed a minimum of three times for each isolate. All raw data is provided in 205 206 Supplementary Table S5.

207

## 208 **RESULTS**

## 209 Major lineages are circulating in the region

Phylogenetic analysis identified several lineages circulating in all the three hospitals (Figure 210 **1A and B**). The majority of isolates belong to GC2 (n=106/191), represented exclusively by 211 sequence type 2 (ST2) of the Pasteur scheme. No isolates belonging to GC1 were identified, a 212 key clonal group in the evolution of multi-drug resistance in A. baumannii (Holt et al., 2016). 213 Non-GC2 isolates belonged to ST164 (n=14; 7.3%), ST215 (n=13; 6.8%), ST16 (n=9; 4.7%), 214 ST25 (n=6; 3.1%), ST129 (n=6; 3.1%), ST374 (n=4; 2.1%) and ST10 (n=2; 1.0%); three 215 isolates could not be sequence-typed, most likely due to low-quality genomes, and thus missing 216 one of the MLST alleles. The high prevalence of GC2 and lack of GC1 of our dataset from 217 2016 closely resembles the population structure from the Vietnamese hospital outbreak 218 (Schultz et al., 2016) over the period 2009-12 (Figure 1C); both datasets include a considerable 219 number of deep branching lineages. These similarities in population structure are also mirrored 220

- 221 when comparing the distribution of pairwise single-nucleotide polymorphisms (SNPs) between
- the datasets from Vietnam and Thailand (Figure 1D).

## 223 Antimicrobial resistance

Phenotypic resistance profiles for 115 of the strains confirmed the very high levels of antibiotic 224 resistance encountered with clinical isolates of *A. baumannii*, especially against β-lactam 225 agents (e.g., ceftriaxone: 115/115, 100%), including carbapenems (Fig. 2a; 98/115, 85.2%), 226 but also against other major antibiotic classes: fluoroquinolones (98/115, 85.2% resistant / 227 intermediate [R/I]), aminoglycosides (79/115, 68.7% R/I) and trimethoprim (76/115, 66.1% 228 R/I), and multidrug resistance was, as expected, associated with a high number of acquired 229 resistance genes (Figure 2A, C; Supplementary Figures S1, S2) indicating either gain 230 through larger elements carrying several genes as previously described as a key driver for A. 231 baumannii resistance (Bonomo and Szabo, 2006; Post and Hall, 2009). blaoXA23, the most 232 prominent carbapenem resistance gene, is present in 85.2% of imipenem resistant strains 233 (Figure 2C). Few isolates carried the  $bla_{NDM-1}$  gene and a low number of acquired *ampC* genes 234 were detected (Figure 2C, S2). We also note the presence of the arr gene, as well as rpoB 235 mutations, conferring rifampicin resistance, one of the last line antimicrobials used against 236 237 CRAB (Thapa et al., 2009; Durante-Mangoni et al., 2014).

## 238 Mobile elements

All but ten isolates contained at least one of the plasmid replicons (supporting file S1) and 121

- contained two to maximal five (Figure 2B). The three plasmid replicons detected at highest
   frequency were RepAci1, RepAci6 and RepApAB49. Each of these plasmid types were found
- across a number of STs, although RepAci1 plasmids were present in almost all the ST2 isolates
- 243 (102/106) and RepApAB49 was found in 12/14 ST164 isolates. Recently, a RepAci1 plasmid
- was shown to be mobilised by a co-residing conjugative RepAci6 plasmid (Blackwell and Hall,
   2019), and these two replicons co-occur in the genomes of 56 isolates; RepAci6 only was
- detected in one isolate, and RepAci1 only in 46. RepAci6 plasmids were the most common
- self-transmissible plasmids detected. Plasmid replicons detected frequently included those
  matching pRAY\*, which is often associated with the *aadB* gene (Hamidian et al., 2012),
- RepAci3, p3ABAYE, pABTJ2 and RepAci9. RepMAci9 was detected in all thirteen ST215 isolates. Seven plasmid types were present in low frequency (**Figure 2B**) and an additional
- fifteen plasmid sequences were not detected in the Thai collection (supporting file S1).

# 252 Genes associated with capsules and outer core

A. baumannii does not contain genes involved in lipopolysaccharide (LPS) O-antigen ligase 253 activity (Kenyon and Hall, 2013; Weber et al., 2015), synthesising instead a 254 lipooligosaccharide (LOS) consisting of an outer core oligosaccharide (OCL) linked to Lipid 255 A (Kenyon and Hall, 2013; Kenyon et al., 2014a); at least twelve distinct OCL structures have 256 been inferred from genomic data (Kenyon et al., 2014b). We mapped all Thai isolates against 257 an A. baumannii specific databases for capsular and LOS loci (KL and OCL, resp.; 258 Supplementary Figure S3; Wyres et al., 2019). In similar fashion to the Vietnam study 259 (Schultz et al., 2016), we noted a high diversity of KL within both GC2 and non-GC2 isolates. 260 261 KL6 (15.2%), KL10 (15.7%), KL47 (11.0%), KL2 (8.4%), KL52 (7.9%), KL3 (7.3%), KL49 (6.3%), KL24 (5.8%), KL14 (3.1%) and KL28 (2.1%) were frequently encountered and KL32, 262 KL63, KL57, KL8, KL108, KL19, KL113, KL116, KL60, KL43, KL37, KL9, KL125 and KL7 263 were represented in 2% or fewer isolates. KL could not be determined in 15 isolates (7.9%). 264 KL2 and KL49 were found at least twice in the Vietnam isolates although we did not detect 265 KL58, strongly represented in (Schultz et al., 2016). Eight distinct capsule loci in our GC2 266 267 isolates were detected in isolates from all three hospitals during April 2016 and provide a

challenge for novel therapies targeting bacterial cell surfaces. Furthermore, seven distinct LOS
loci were detected amongst the Thai isolates (Supplementary Figure S3, Table S1). The
majority of GC2 isolates carried genes for OCL1 biosynthesis (91 isolates, 85.8% of all GC2
isolates, 61.8% total), whilst the other types, OCL2 (6.8%), OCL3 (4.2%), OCL4 (1.6%),
OCL5 (15.7%), OCL6 (4.7%) and OCL7 (5.2%) were also widely distributed amongst our
isolates; there was however no clear association between K- and LOS-types (Figure 3).

### 274 Linking virulence-associated phenotype, site of isolation, and genotype in GC2

275 We examined 45 GC2 isolates belonging to the major capsule types identified in the Thai collection: KL10 (eight isolates), KL2 (4), KL3 (2), KL47(2), KL49 (5), KL52 (2) and KL6 276 (22). Although A. baumannii strains lack flagella, the species displays type IV-mediated 277 twitching motility that facilitates spreading on abiotic surfaces (Vijayakumar et al., 2016), and 278 it has been linked to the capacity of strains to cause systemic infection (Harding et al., 2018). 279 Only six of our 45 GC2 isolates were derived from blood samples but all displayed twitching 280 motility (Table 1, Table S5, Figure 3A). In contrast, none of six tissue isolates and only a 281 minority of sputum isolates (10/33) were motile in this fashion. The capacity to swarm on semi-282 solid agar, (surface-associated motility; Harding et al., 2018) can also be linked to a more 283 virulent phenotype (Tipton and Rather, 2017; Eijkelkamp et al., 2011). 24/45 of the Thai GC2 284 isolates displayed surface-associated (swarming) motility; 6/6 of these were from tissue 285 samples and 18/33 from sputum (Table 1, Figure 3A). Three isolates from sputum exhibited 286 both forms of motility. 287

288 Many loci that have been linked to the capacity of A. baumannii to colonise, invade and disseminate within the host, such those encoding adhesins, capsules, quorum sensors, iron 289 sequestering systems and other nutrient scavengers (Harding et al., 2018), are essential or 290 291 advantageous for survival in its natural habitat, predominantly soil and water (Baumann et al., 1968). The distribution of genes based on a publicly available virulence factor database is 292 shown in Supplementary Figure S4, but whilst there are clear differences, no trend (for 293 example increased prevalence in GC2) could be observed. As expected, siderophores, adhesins 294 295 involved in biofilm formation and maintenance, and a variety of genes determining capsule biosynthesis are widely distributed among the isolates. 296

#### 297 GC2 capsule size correlates with survival in human serum

A large proportion (40/45, 88.9%) were refractory to C'-mediated killing; of the remainder, 298 only four were categorised as S (Table 1). All KL10, KL3, KL47, KL49 and KL52 isolates 299 belonged to the R group, with only KL2 (2/4) and KL6 (3/23) capsule types displaying any 300 degree of C' susceptibility (Figure 3A, B). All 45 GC2 isolates examined were encapsulated. 301 The C' susceptible isolates elaborated significantly smaller capsules than R A. baumannii (R, 302 mean 1.62 mm<sup>2</sup>; DS, 0.31 mm<sup>2</sup>; S, 0.81 mm<sup>2</sup>); all capsule locus predictions however showed a 303 perfect or almost perfect match, emphasizing that the capsule biosynthesis locus is likely intact. 304 (Figure 3A) Capsules containing sialic acids protect Gram-negative bacteria from C' attack 305 (Rautemaa and Meri, 1999), and N-acetylneuramininc acid and related nonulosonic and sialic 306 acid structures have recently been found as repeat-unit constituents or as modifications of 307 308 capsule structures in hypermucoviscous K. pneumoniae (Lin et al., 2014) and A. baumannii (Vinogradov et al., 2014; Kenyon et al., 2015; Singh et al., 2018), and associated with increased 309 infectivity. Biosynthesis of sialic acids begins with the conversion of UDP-N-310 acetylglucosamine to UDP and *N*-acetylmannosamine by the hydrolysing 2-epimerase NeuC; 311 a homologue of this enzyme has been described for A. baumannii and its crystal structure 312 determined (Ko et al., 2018). In our set of genomes, the neuC homologue 313 (A0A154EJU5 ACIBA) was found only in the genomes of the five C' resistant isolates 314

carrying genes for biosynthesis of the K49 capsular polysaccharide and is indeed a component of the KL49 locus and should thus correctly be annotated as *lgaC*; the repeat unit of the K49 capsular polysaccharide is composed of  $\alpha$ -L-fucosamine,  $\alpha$ -D-glucosamine and the nonulosonic acid  $\alpha$ -8-epi-legionaminic acid (Vinogradov et al., 2014).

OmpA, one of most abundant porins, is also known to bind factor H in human serum (Kim et 319 al., 2009), and implicated to prevent C' mediated killing; it is however present in all our GC2 320 strains (Figure S4). A more detailed analysis of putative factors explaining the phenotypes 321 (type IV pili, surface proteins, secretion systems, biofilm formation; (Weber et al., 2015; Lee 322 et al., 2017; Table S6) of the 47 GC2 isolates showed no differences that correlated with any 323 of the phenotypes tested. We also included sequence analyses of PilA, which has been shown 324 to influence twitching motility (Ronish et al., 2019); however, the sequences from all 325 phenotyped isolates were identical. 326

327

### 328 DISCUSSION

Multi-drug resistant A. baumannii infections are rapidly increasing and require the use of last-329 line treatments such as colistin. An additional challenge further narrowing the spectrum of 330 available options for highly resistant A. baumannii infections is that last-line treatments 331 available often overlap with other highly problematic infections. One example is the use of 332 rifampicin in combination with colistin against CRAB, which is also one of the last options to 333 treat the increasing number of multi-drug resistant tuberculosis (MDR TB) cases, and use of 334 rifampicin is therefore restricted in use against organisms other than MDR TB (Seijger et al., 335 2019; Leite et al., 2016; Durante-Mangoni et al., 2014; Thapa et al., 2009). There is therefore 336 a growing interest in the potential of non-antibiotic therapeutic approaches including 337 bacteriophage-derived capsule depolymerases as treatment alternative to antimicrobial 338 339 chemotherapy (Seijger et al., 2019; García-Quintanilla et al., 2013; Waldor et al., 2005).

340 We present the analysis of a set of 191 A. baumannii clinical isolates from three major hospitals in Thailand with very high levels of drug resistance. The population structure is biased towards 341 the major clone GC2, as has been observed in other studies in geographic proximity (Schultz 342 et al., 2016). However, the inter-mixed origins of closely related isolates from all three 343 hospitals clearly indicates that both GC2 as well as less dominant sequence types are circulating 344 in the region, and are frequently (re)introduced into hospitals, as opposed to a clonal outbreak 345 within one hospital. In addition to the phylogenetic diversity (almost 50% non-GC2 isolates) 346 and the even spread across the three hospitals, we show that there is a high degree of strain-to-347 strain capsule variability, and development of depolymerase therapeutics will need to account 348 for the challenge of a wide range of capsule types. Nevertheless, a recent study has 349 demonstrated the potential of capsule depolymerase against A. baumannii in a Galleria 350 mellonella (wax moth) larvae infection model and protection of both normal and 351 immunocompromised mice from lethal peritoneal sepsis (Liu et al., 2019a). 352

The enzyme also sensitised the C'-resistant isolate to serum (Liu et al., 2019b), which is highly 353 relevant as the large majority of our GC2 isolates (40/45) were C' resistant, in similar 354 proportion to other recent studies (Skerniškytė et al., 2019; Sanchez-Larrayoz et al., 2017). 355 LPS O-side chains prevent assembly of the C5b-9 complex by steric hindrance; A. baumannii 356 however does not decorate its LOS with O-side chains but is able to modify the lipid A moiety 357 of LOS by acylation, resulting in increased survival in blood (Bartholomew et al., 2019), which 358 could prevent C5b-9 intercalation into the bilayer. Alternatively, there is some evidence that A. 359 baumannii may prevent C' activation: resistant clinical isolates bound fH, a key inhibitor of the 360 alternative C' pathway (Kim et al., 2009), preventing C5b-9 generation. King et al. (King et al., 361

2009) found that clinical isolates did not bind fH but circumvented C3b deposition, again preventing C5b-9-mediated bacterial killing. Cell surface-located sialic acids are potent recruiters of fH and we therefore examined Thai GC2 isolates for evidence of *neuC*-dependent sialyl biosynthesis. The *neuC* homologue is part of the KL49 locus; however, nonulosonic acid sugars are also found in the K2 and K6 types (Kenyon and Hall, 2013), which have C' sensitive as well as resistant phenotypes.

Current evidence indicates that C' killing of susceptible A. baumannii proceeds predominantly 368 through the activation of the alternative pathway (Kim et al., 2009; Jacobs et al., 2010; 369 Sanchez-Larrayoz et al., 2017). The lack of classical pathway killing may be due to the absence 370 of C'-activating IgG or IgM directed against A. baumannii surface structures in normal human 371 serum, suggesting that the predominant means to avoid bactericidal effects is prevention or 372 subversion of activation of the alternative pathway. It is likely that the polysaccharide capsule 373 is the predominant macromolecule facilitating C' resistance (Harding et al., 2018) and the four 374 fully C' susceptible isolates in the current study elaborated less capsule than the resistant group. 375 Capsule depolymerases as an alternative means of resolving *A. baumannii* systemic infections 376 would thus be worth exploring but may be limited by the wide diversity of capsule types likely 377 to be encountered in current clinical isolates. 378

Whilst the current focus is placed on GC2, it is important to point out that GC1 and GC2 seem to follow different strategies for interacting with the immune system and hospital environment. Whilst we report low motility and high C' resistance for GC2 and the associated genetic background, GC1 seems to follow a very different route, with high motility profiles and different adherence profiles than GC2 (Skerniškytė et al., 2019). It is thus crucial to increase active surveillance of *A. baumannii* epidemiology, as different high-risk lineages may need different approaches to reduce their burden in the clinic.

386

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de Herrero Martinez for assistance with DNA preparation.

## **392 CONFLICT OF INTEREST**

393 All authors declare no personal, professional or financial conflicts of interest.

## **394 AUTHOR CONTRIBUTIONS**

PT, NRT and RAS conceived the study. JL, EH, GB and PT designed experimental procedures.
JL, EH, RS and GB performed the experiments, analysed and curated the data. SV, PS and PK

397 assembled the bacterial collection. PT and EH wrote the manuscript.

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### 406 DATA AVAILABILITY

All isolate metadata, raw sequence data and assemblies are available in a publicly accessible
 repository under the accession numbers provided in Table S1.

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778

## 779 FIGURE LEGENDS

FIGURE 1. The population structure of A. baumannii isolated from a variety of infections in 780 April 2016 at three major Thai hospitals. (A) Samples were obtained from geographically 781 782 distinct regions of the country. (B) Core gene phylogeny showed that the bacterial populations were circulating amongst the three hospitals; no single lineage dominated at any one location. 783 (C) Our data in context with the global population structure based on published data. (D) A 784 785 more detailed comparison of the data structure of pairwise SNP distances shows a similar distribution between our samples and a recent study from one hospital in Vietnam (Schultz et 786 al., 2016), with a similarly high prevalence of ST2 (C), but also a considerable number of more 787 distantly related isolates from other regions. 788

- FIGURE 2. Phenotypic resistance of A. baumannii at high levels for all antimicrobial classes. 789 (A) Resistance phenotypes measured on site at time of isolation clearly demonstrate the highly 790 problematic levels of resistance in A. baumannii, with >70% non-sensitive against all tested 791 classes. TZP, piperacillin-tazobactam; CFZ, cefazolin; CXMA, cefuroxime axetil; CRO, 792 ceftriaxone; FEP, cefepime; DOR, doripenem; IPM, imipenem; MEM, meropenem; GEN, 793 gentamicin; CIP, ciprofloxacin; TET, tetracycline; SXT, trimethoprim-sulfamethoxazole. (B) 794 Distribution of antimicrobial resistance plasmids carried by A. baumannii in relation to 795 sequence type (ST). (C) Distribution of acquired antimicrobial resistance genes carried by A. 796 baumannii in relation to sequence type (ST). 797
- FIGURE 3. Properties of 46 GC2 *A. baumannii* Thai isolates belonging to the common capsule
  genotypes encountered in this study. (A) Motility measurements, capsule size, and C'
  susceptibility in phylogenetic context. (B) C' resistance profiles stratified by capsule type.
- **FIGURE S1.** Resistance genes and phenotypic resistance. The strains were grouped according to the number of agents in the Vitek screen the respective strains were resistant to, along the xaxis. The y-axis shows the number of strains in the relevant class, the colour of the bars shows resistance (dark purple), intermediate (yellow) or sensitive (green) against the respective

antimicrobial of the subplot. This shows that almost all strains are resistant against 12 reagents, sensitivity of the highly-resistant ones on the far end of the x-scale is only occasionally in sulfonamides or tetracycline, but all are fully resistant against the  $\beta$ -lactam class.

FIGURE S2. Presence of genes encoding antibiotic resistance in Thai A. baumannii isolates. 808 The guidance tree is shown in **Figure 3A**. Bla,  $\beta$ -lactamases; AGly, aminoglycosides; MLS, 809 macrolides; Phe, chloramphenicol; Rif, rifampin; Sul, sulfonamides; Tet, tetracycline; Tmt, 810 trimethoprim. AMR genes were sourced from the curated version of the ARG-ANNOT 811 database available at the SRST2 site. Isolates from Thammasat University Hospital, Siriraj 812 Hospital, and Songklanagarind Hospital are designated TU, Siriraj, and Songkla, respectively. 813 Sequence types are shown, as indicated in the legend. Chromosomal mutations for RpoB are 814 also shown, we could detect potential resistance-conferring changes (Pérez-Varela et al., 815

- 816 2017)(Giannouli et al., 2012) D525N, H535Q, and S540F.
- FIGURE S3. Cell surface polysaccharide diversity of *A. baumannii* Thai isolates. Capsular
  (KL) and outer core loci (OCL) *in silico* typing of Thai isolates. *A. baumannii* shows
  considerable variation in K-type and a more conserved distribution of OCL-types.
- **FIGURE S4.** Virulence genes associated with Thai *A. baumannii* isolates. The guidance tree is shown in **Figure 3A**. Antibiotic resistance genes were detected with the curated version of the ARG-ANNOT database available at the SRST2 site using ARIBA. Isolates from
- 823 Thammasat University Hospital, Siriraj Hospital, and Songklanagarind Hospital are designated
- TU, Siriraj, and Songkla, respectively. Sequence types are shown, as indicated in the legend.

### 825 Supporting datasets:

B26 Dataset S1: The custom-made plasmid replicon collection used to assign plasmid types to *A*.
B27 *baumannii*.

828

Thai strain ID	Hospital	KL	OCL	ST	Sample	Motility (mm)		C' Susceptibility <sup>b</sup>
					source	Swarming <sup>c</sup>	Twitching <sup>d</sup>	-
ABMYSP-109	Thamm <sup>a</sup>	KL10	OCL1	2	Sputum	≤10	15	R
ABMYH-1245	Thamm	KL10	OCL1	2	Blood	<10	10	R
ABAPSP-55	Thamm	KL10	OCL1	2	Sputum	<10	5	R
ABAPSP-64	Thamm	KL10	OCL1	2	Sputum	12	12	R
ABMYSP-101	Thamm	KL10	OCL1	2	Sputum	<10	5	R
ABMYSP-182	Thamm	KL10	OCL1	2	Sputum	14	<5	R
ABMYSP-187	Thamm	KL10	OCL1	2	Sputum	14	<5	R
ABMYH-797	Thamm	KL10	OCL1	2	Blood	<10	10	R
AB1039	Songkla	KL2	OCL1	2	Sputum	19	<5	S
AB1492-09	Songkla	KL2	OCL1	2	Sputum	16	<5	R
AB3396	Songkla	KL2	OCL1	2	Tissue	15	<5	R
AB4452-09	Songkla	KL2	OCL1	2	Sputum	≤10	<5	DS
AB11	Siriraj	KL3	OCL1	2	Sputum	≤10	<5	R
ABJNH-403	Thamm	KL3	OCL1	2	Blood	≤10	10	R
AB15	Siriraj	KL47	OCL1	2	Sputum	15	5	R
ABAPP-61	Thamm	KL47	OCL1	2	Tissue	15	<5	R
AB8	Siriraj	KL49	OCL1	2	Sputum	15	<5	R
AB14	Siriraj	KL49	OCL1	2	Sputum	17	<5	R
AB724	Songkla	KL49	OCL1	2	Sputum	14	<5	R
AB1719-09	Songkla	KL49	OCL1	2	Tissue	15	<5	R
AB2792	Songkla	KL49	OCL1	2	Blood	≤10	10	R
AB1	Siriraj	KL52	OCL1	2	Tissue	14	<5	R
ABMYSP-444	Thamm	KL52	OCL1	2	Sputum	≤10	5	R
AB6	Siriraj	KL6	OCL1	2	Sputum	18	<5	R
AB7	Siriraj	KL6	OCL1	2	Sputum	17	<5	R
AB9	Siriraj	KL6	OCL1	2	Sputum	18	5	S
ABMYSP-185	Thamm	KL6	OCL1	2	Sputum	≤10	5	R
ABMYSP-216	Thamm	KL6	OCL1	2	Sputum	16	<5	R
ABMYH-1652	Thamm	KL6	OCL1	2	Blood	≤10	5	R
ABMYSP-475	Thamm	KL6	OCL1	2	Sputum	18	<5	R
ABMYSP-477	Thamm	KL6	OCL1	2	Sputum	15	<5	R
ABMYSP-479	Thamm	KL6	OCL1	2	Sputum	15	<5	R
ABMYSP-517	Thamm	KL6	OCL1	2	Sputum	≤10	<5	R
ABMASP-366	Thamm	KL6	OCL1	2	Sputum	≤10	5	R
ABMASP-379	Thamm	KL6	OCL1	2	Sputum	≤10	13	R
ABMASP-491	Thamm	KL6	OCL1	2	Sputum	≤10	<5	R
ABAPSP-195	Thamm	KL6	OCL1	2	Sputum	≤10	<5	R
ABAPU-469	Thamm	KL6	OCL1	2	Tissue	11	<5	R
ABAPU-722	Thamm	KL6	OCL1	2	Tissue	16	<5	R
ABMYSP-494	Thamm	KL6	OCL1	2	Sputum	16	<5	R
ABMYSP-6	Thamm	KL6	OCL1	2	Sputum	16	<5	S
ABMYSP-207	Thamm	KL6	OCL1	2	Sputum	≤10	<5	R
ABMYSP-210	Thamm	KL6	OCL1	2	Sputum	≤10	<5	S
ABMYSP-245	Thamm	KL6	OCL1	2	Sputum	≤10	<5	R
ABMYH-1033	Thamm	KL6	OCL1	2	Blood	≤10	10	R

829 **Table 1.** Properties of GC2 *A. baumannii* clinical isolates

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<sup>a</sup>Thamm: Thammasat Hospital; Songkla: Songklaragarind Hospital; Siriraj: Siriraj Hospital

<sup>b</sup>Complement reactivity: R: Resistant; DS: Delayed susceptible; S: Rapidly susceptible

<sup>833</sup> <sup>c</sup>Values less than 10 mm are considered negative (Vijayakumar et al., 2016)

<sup>d</sup>Values less than 5 mm are considered negative (Vijayakumar et al., 2016)

Figure 1



1111. 

(C)

Year

ST



(D)



Tree scale: 0.1











Tree scale: 0.1 ⊢

