Thrombolysis With Alteplase at 0.6 mg/kg for Stroke With Unknown Time of Onset A Randomized Controlled Trial

Masatoshi Koga, MD; Haruko Yamamoto, MD; Manabu Inoue, MD; Koko Asakura, PhD; Junya Aoki, MD; Toshimitsu Hamasaki, PhD; Takao Kanzawa, MD; Rei Kondo, MD; Masafumi Ohtaki, MD; Ryo Itabashi, MD; Kenji Kamiyama, MD; Toru Iwama, MD;
Taizen Nakase, MD; Yusuke Yakushiji, MD; Shuichi Igarashi, MD; Yoshinari Nagakane, MD; Shunya Takizawa, MD; Yasushi Okada, MD; Ryosuke Doijiri, MD; Akira Tsujino, MD; Yasuhiro Ito, MD; Hideyuki Ohnishi, MD; Takeshi Inoue, MD; Yasushi Takagi, MD;
Yasuhiro Hasegawa, MD; Yoshiaki Shiokawa, MD; Nobuyuki Sakai, MD; Masato Osaki, MD; Yoshikazu Uesaka, MD; Shinichi Yoshimura, MD; Takao Urabe, MD; Toshihiro Ueda, MD; Masafumi Ihara, MD; Takanari Kitazono, MD; Makoto Sasaki, MD; Akira Oita, BS; Sohei Yoshimura, MD; Mayumi Fukuda-Doi, MD; Kaori Miwa, MD; Kazumi Kimura, MD; Kazuo Minematsu, MD; Kazunori Toyoda[®], MD; for the THAWS Trial Investigators

Background and Purpose—We assessed whether lower-dose alteplase at 0.6 mg/kg is efficacious and safe for acute fluidattenuated inversion recovery-negative stroke with unknown time of onset.

- *Methods*—This was an investigator-initiated, multicenter, randomized, open-label, blinded-end point trial. Patients met the standard indication criteria for intravenous thrombolysis other than a time last-known-well >4.5 hours (eg, wake-up stroke). Patients were randomly assigned (1:1) to receive alteplase at 0.6 mg/kg or standard medical treatment if magnetic resonance imaging showed acute ischemic lesion on diffusion-weighted imaging and no marked corresponding hyperintensity on fluid-attenuated inversion recovery. The primary outcome was a favorable outcome (90-day modified Rankin Scale score of 0–1).
- *Results*—Following the early stop and positive results of the WAKE-UP trial (Efficacy and Safety of MRI-Based Thrombolysis in Wake-Up Stroke), this trial was prematurely terminated with 131 of the anticipated 300 patients (55 women; mean age, 74.4±12.2 years). Favorable outcome was comparable between the alteplase group (32/68, 47.1%) and the control group

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From the Department of Cerebrovascular Medicine (M.K., M. Inoue, S. Yoshimura, M.F.-D., K. Miwa, K. Minematsu, K. Toyoda); Center for Advancing Clinical and Translational Sciences (H.Y.), Department of Data Science (K.A., T.H., M.F-D.), Department of Neurology (M. Ihara), and Department of Pharmacy (A.O.), National Cerebral and Cardiovascular Center, Suita; Department of Neurology, Graduate School of Medicine, Nippon Medical School, Tokyo (J.A., K. Kimura); Department of Stroke Medicine, Institute of Brain and Blood Vessels, Mihara Memorial Hospital, Isesaki (T. Kanzawa); Department of Neurosurgery, Yamagata City Hospital Saiseikan (R. Kondo); Department of Neurosurgery, Obihiro Kosei Hospital (M. Ohtaki); Department of Stroke Neurology, Kohnan Hospital, Sendai (R.I.); Department of Neurosurgery, Nakamura Memorial Hospital, Sapporo (K. Kamiyama); Department of Neurosurgery, Gifu University School of Medicine (T. Iwama); Department of Stroke Science, Research Institute for Brain and Blood Vessels, Akita (T.N.); Department of Neurosurgery, Akita University (T.N.); Division of Neurology, Department of Internal Medicine, Saga University Faculty of Medicine (Y.Y.); Department of Neurology, Niigata City General Hospital (S.I.); Department of Neurology, Kyoto Second Red Cross Hospital (Y.N.); Division of Neurology, Department of Internal Medicine, Tokai University School of Medicine, Isehara (S.T.); Department of Cerebrovascular Medicine and Neurology, Cerebrovascular Center, National Hospital Organization Kyushu Medical Center, Fukuoka (Y.O.); Department of Neurology, Iwate Prefectural Central Hospital, Morioka (R.D.); Department of Neurology and Strokology, Nagasaki University Graduate School of Biomedical Sciences (A.T.); Department of Neurology, Toyota Memorial Hospital (Y.I.); Department of Neurosurgery, Ohnishi Neurological Center, Akashi (H.O.); Department of Stroke Medicine, Kawasaki Medical School General Medical Center, Okayama (T. Inoue); Department of Neurosurgery, Tokushima University (Y.T.); Department of Neurology, St. Marianna University School of Medicine, Kawasaki (Y.H.); Department of Neurosurgery, Kyorin University School of Medicine, Mitaka (Y.S.); Department of Neurosurgery, Kobe City Medical Center General Hospital (N.S.); Department of Cerebrovascular Medicine, Stroke Center, Steel Memorial Yawata Hospital, Kitakyushu (M.O.); Department of Neurology, Toranomon Hospital, Tokyo (Y.U.); Department of Neurosurgery, Hyogo College of Medicine, Nishinomiya (S. Yoshimura); Department of Neurology, Juntendo University Urayasu Hospital (T. Urabe); Department of Strokology, Stroke Center, St. Marianna University Toyoko Hospital, Kawasaki (T. Ueda); Department of Medicine and Clinical Science, Graduate School of Medical Sciences, Kyushu University, Fukuoka (T. Kitazono); Institute for Biomedical Sciences, Iwate Medical University, Yahaba (M.S.); and Headquarters of the Iseikai Medical Corporation, Osaka, Japan (K. Minematsu).

Correspondence to Kazunori Toyoda, MD, Department of Cerebrovascular Medicine, National Cerebral and Cardiovascular Center, 6-1 Kishibe-Shimmachi, Suita, Osaka 564-8565, Japan. Email toyoda@ncvc.go.jp

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(28/58, 48.3%; relative risk [RR], 0.97 [95% CI, 0.68–1.41]; *P*=0.892). Symptomatic intracranial hemorrhage within 22 to 36 hours occurred in 1/71 and 0/60 (RR, infinity [95% CI, 0.06 to infinity]; *P*>0.999), respectively. Death at 90 days occurred in 2/71 and 2/60 (RR, 0.85 [95% CI, 0.06–12.58]; *P*>0.999), respectively.

Conclusions—No difference in favorable outcome was seen between alteplase and control groups among patients with ischemic stroke with unknown time of onset. The safety of alteplase at 0.6 mg/kg was comparable to that of standard treatment. Early study termination precludes any definitive conclusions.

Registration—URL: https://www.clinicaltrials.gov; Unique identifier: NCT02002325.

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Key Words: control groups ■ informed consent ■ intracranial hemorrhages ■ magnetic resonance imaging ■ stroke, acute ■ tissue-type plasminogen activator

Recently, the WAKE-UP trial (Efficacy and Safety of MRI-Based Thrombolysis in Wake-Up Stroke) revealed the efficacy and safety of alteplase (a recombinant plasminogen activator) at 0.9 mg/kg guided by a mismatch between diffusion-weighted imaging (DWI) and fluid-attenuated inversion recovery (FLAIR; negative FLAIR pattern or DWI-FLAIR mismatch) in patients with acute ischemic stroke with an unknown time of onset.1 In Japan, a different alteplase dose of 0.6 mg/kg is only available for patients with acute ischemic stroke based on a single-arm trial, observational studies, and guidelines.²⁻⁵ The ENCHANTED (Enhanced Control of Hypertension and Thrombolysis Stroke Study) revealed that small difference of efficacy and overall similarity regarding the balance between efficacy and safety between 0.9 and 0.6 mg/kg of alteplase though this trial did not reach its primary end point of noninferiority.6 Herein, we describe the THAWS trial (Thrombolysis for Acute Wake-Up and Unclear-Onset Strokes With Alteplase at 0.6 mg/kg; www.Clinical Trials.gov, Identifier: NCT02002325; UMIN clinical trial ID: UMIN000011630), which was conducted to test whether alteplase at 0.6 mg/kg is effective and safe in patients with acute ischemic stroke with an unknown time of onset who had acute ischemic lesions on DWI and no marked corresponding hyperintensity on FLAIR.7

Methods

THAWS was an investigator-initiated, multicenter, randomized, openlabel, blinded-end point evaluation, controlled trial involving patients with an unknown time of stroke onset. The design was similar to that of the WAKE-UP trial. We did not use placebo mainly due to the financial limitation. All patients met the clinical criteria for intravenous thrombolysis in Japan,⁵ other than a time last-known-well >4.5 hours. Patients could undergo randomization if MRI showed a negative FLAIR pattern (Figure I in the Data Supplement) with standard settings on FLAIR based on the imaging guidelines provided by the WAKE-UP group.

The trial was approved by the local ethics committee or institutional review board at each participating center. Patients or their relatives provided written informed consent according to ethical regulations.

Investigators are listed in the Data Supplement. A Steering Committee was responsible for the design, interpretation, and supervision of the trial.

The trial was overseen by an independent data and safety monitoring board. No efficacy interim analysis was conducted, but one safety interim analysis with an initial 150 patients was planned. The Research Electronic Data Capture system was used to collect trial data. The authors confirmed the accuracy and completeness of the data and adverse event reporting and the fidelity of the trial to the protocol. De-identified individual participant data may be available upon request, only if the request is intended to contribute to the improvement of people's health and welfare.

Additional information is described in the Data Supplement.

Patients

Patients with stroke symptoms on awaking or with unknown time of onset were eligible if they presented >4.5 hours since last-known-well and within 4.5 hours after symptom recognition were 20 years or older and had a premorbid modified Rankin Scale (mRS) (Table I in the Data Supplement). We initially set an upper time limit of 12 hours after last-known-well in May 2014 and revised this to no time limit in August 2015 to match this eligibility criterion with that of the WAKE-UP trial. Patients were excluded if they had mild stroke with National Institutes of Health Stroke Scale (NIHSS; ranging from 0 to 42, with higher score indicating more severe stroke) <5 (revised to <2 in August 2015 due to the same reasons given above) or severe stroke with NIHSS >25 in contrast to no lower and upper limit of NIHSS in the WAKE-UP trial. Otherwise, patients fulfilled the standard eligibility criteria for the use of alteplase (Table I in the Data Supplement).⁵ Patients underwent MRI examination with DWI, FLAIR, T2*, and time-of-flight magnetic resonance angiography of the circle of Willis. The details of DWI and FLAIR parameters, which have been described previously,⁷ were matched to those used in the WAKE-UP trial. Patients underwent randomization if they showed mismatch between the presence of an abnormal signal on DWI and no marked signal change on FLAIR (negative FLAIR pattern) in the corresponding region of the acute stroke. Patients with clinically acute ischemic stroke and a negative FLAIR pattern who did not display an abnormal signal on DWI were also enrolled. A detailed imaging guidebook provided by the WAKE-UP steering committee included extensive examples illustrating the inclusion and exclusion criteria for MRI. Patients were excluded if they had any contraindications for MRI (eg, cardiac pacemaker), were planned or anticipated to undergo treatment with surgery or endovascular reperfusion strategies, were pregnant, lactating, or potentially pregnant, or had a life expectancy of 6 months or less according to the judgment of an investigator. As MRI criteria, patients were excluded with intracranial hemorrhage or large infarct with Alberta Stroke Program Early CT Score of 4 or less in the territory of the middle cerebral artery, or with visual lesion volume over 50% of the anterior cerebral artery or posterior cerebral artery, more than half of the brain stem or more than half of the unilateral cerebellar hemisphere.

Randomization and Treatment

Eligible patients were randomized in a 1:1 ratio to receive either intravenous alteplase (alteplase group) or standard treatment (control group), based on a minimization scheme with stratification by severity of symptoms as assessed using the NIHSS score (≤ 11 or > 11) though those in the WAKE-UP trial were done by the NIHSS (≤ 10 or >10) and by age ($\leq 60/>60$ years). Treatment was allocated by an in-house, validated, interactive email-based system, right after a patient was enrolled by an investigator from the study site. Both patients and investigators were aware of treatment allocation. Primary and secondary outcomes were assessed without information regarding treatment allocation by independent and certified neurologists, neurosurgeons, nurses, or clinical research coordinators. Just after randomization, patients randomized to the alteplase group were treated with intravenous alteplase at 0.6 mg/kg (with 10% bolus administration and 90% by 60-minute infusion) within 4.5 hours of waking up or discovery, and those randomized to the control group were treated with the standard treatment using 1 to 3 antithrombotic drugs, including oral aspirin (160-300 mg/day), oral clopidogrel (75 mg/day), intravenous argatroban, or intravenous unfractionated heparin, but excluding the combination of argatroban and heparin, according to decisions of the attending physician. All antithrombotics were basically prohibited for use in the alteplase group within the initial 25 hours. Treatment was initiated as soon as possible within 60 minutes after MRI examination. Thrombolytic agents such as urokinase, monteplase, and tenecteplase (unavailable in Japan) were prohibited during the 90-day study period in both groups.

Clinical and Imaging Assessment

Certified neurologists, neurosurgeons, nurses, or clinical research coordinators performed clinical assessments at baseline, at 22 to 36 hours, at 7 to 14 days, or at hospital discharge (if earlier), and at 90 days after randomization. At 90 days, mRS and adverse events were blindly assessed without information on treatment assignment by an independent physician, nurse, or clinical research coordinator. Brain MRI was performed at baseline, at 22 to 36 hours to identify intracranial hemorrhage, and at 7 to 14 days to delineate final infarct volume. The details of clinical and imaging assessments and major protocol violation are described in the Data Supplement.

Outcome Measures

The primary efficacy end point was favorable outcome, defined as mRS score of 0 to 1 at 90 days after stroke onset. Secondary efficacy end points were category shift in mRS score at 90 days after stroke onset, mRS score 0 to 2 at 90 days after stroke onset, category shift in NIHSS score at 24 hours after initiation of treatment, and category shift in NIHSS score at 7 days after initiation of treatment. Imaging outcomes (exploratory end points) included recanalization of the culprit artery on magnetic resonance angiography at 22 to 36 hours after initiation of treatment, infarct volume on FLAIR at 7 days and infarct growth as defined by infarct volume on FLAIR at 7 days minus infarct volume on DWI at baseline. Recanalization was defined by modified Mori grade 3.⁸ Safety end points were symptomatic intracranial hemorrhage (sICH) at 22 to 36 hours and major extracranial bleeding⁹ and death due to any cause at 90 days. The definition of sICH was an increase in NIHSS score by \geq 4 from baseline and parenchymal hematoma type II on MRI at 22 to 36 hours after initiation of treatment.

Statistical Analysis

We determined the sample size on the basis of the primary end point. A total of 278 patients was required to ensure $1-\beta=90\%$ probability to demonstrate that the relative effect of intravenous alteplase compared with standard treatment for ischemic stroke patients is more than a fraction of 0.5 of the combined relative effect of intravenous alteplase across the stroke thrombolysis studies,¹⁰⁻¹³ by using a 1-sided χ^2 test of significance level of 2.5%, where the effects of intravenous alteplase and standard treatment are assumed to be 30% and 20% commonly for Japanese patients and comparable combined studies. We planned to recruit a total of 300 patients, accounting for possible treatment failures, protocol violations, and dropouts.

Statistical analyses are elaborated in a prespecified statistical analysis plan. Primary analyses were undertaken according to an intention-to-treat principle. A secondary per-protocol analysis excluded patients with major protocol violations. We also performed an as-treated analysis to assess whether the intention-to-treat basis analysis resulted in any underestimation of the treatment effect. The details of missing data management and primary, secondary, safety data, and subgroup analyses are described in the Data Supplement.

A value of P<0.05 based on a 2-sided test was considered significant. Primary and secondary end points and predefined subgroup analyses mentioned above were performed using SAS/STAT software, version 9.4 (SAS Institute, Inc, Cary, NC).

Results

Patients' Characteristics

Following the early stop and positive results of the WAKE-UP trial, the THAWS steering committee suspended further enrollments on July 10, 2018. From May 1, 2014 to July 10, 2018, a total of 465 patients underwent screening at 40 centers. Of these, 352 were excluded, including 207 who had no mismatch between findings on DWI and FLAIR or had intracerebral hemorrhage and 45 for whom thrombectomy was planned (Figure 1). A total of 131 patients underwent randomization, as compared with the targeted enrollment of 300 patients.

Of the 131 enrolled patients, 70 were assigned to the alteplase group and 61 to the control group (Figure 1). Baseline demographic and clinical characteristics in both groups are shown in Table 1. Median NIHSS score at the time of baseline examination was 7 for each group. Wake-up stroke was found in 53 patients (75.7%) in the alteplase group and 40 patients (65.6%) in the control group. Median time between last-known-well and symptom recognition was 7.0 hours for each group. Median interval between last-known-well and randomization was 10.0 hours for each group.

All patients in the alteplase group and 1 patient in the control group received alteplase (Figure 1). Alteplase was discontinued

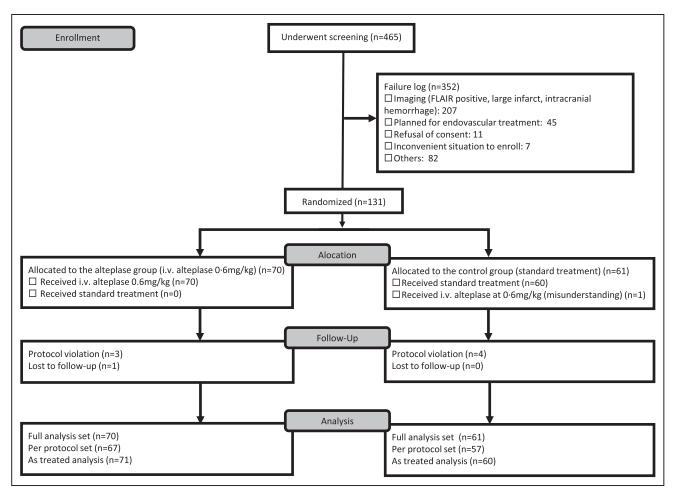


Figure 1. Screening and randomization of patients. FLAIR indicates fluid-attenuated inversion recovery.

just after bolus infusion due to subdural hematoma on baseline FLAIR in one patient from the alteplase group. Thus, 71 patients who received alteplase and 60 patients who received standard treatment were included in the safety analysis set. Three patients in the alteplase group and 4 patients in the control group showed protocol violation (alteplase group: one patient with alteplase infusion before randomization, one with subdural hematoma, and one with endovascular treatment; control group: one patient with elevated level of activated partial thromboplastin time, one with acute antiplatelet therapy with cilostazol, one with hypoglycemia and one treated with alteplase) and one patient in the alteplase group was lost to follow-up. Four patients in the alteplase group and 4 in the control group underwent 3-month follow-up assessment outside of the allowance schedule or with an unblinded assessor. Details of acute treatments from randomization to 24 hours are summarized in Table II in the Data Supplement. Any antithrombotic therapy, oral antiplatelet, and intravenous anticoagulant therapies were performed in 85%, 49%, and 66%, respectively, in the control group, compared with 13%, 9%, and 6%, respectively, in the alteplase group according to the protocol.

Efficacy Outcomes

Favorable outcomes at 90 days after stroke onset were comparable between the alteplase group (47.1%) and control group (48.3%; RR, 0.97 [95% CI, 0.68–1.41]; *P*=0.892; Table 2; Figure 2). Sensitivity analyses using the full analysis data set, per-protocol data analysis, and as-treated analysis showed similar results (Table 2).

An mRS score of 0 to 2 at 90 days after stroke onset was also comparable between the alteplase group (58.8%) and control group (60.3%; RR, 0.97 [95% CI, 0.73–1.30]; *P*=0.862; Table 2; Figure 2). No difference in category shift in NIHSS score was seen at 24 hours and at 7 days after the initiation of treatment between groups. Regarding imaging outcomes, early recanalization of the culprit artery was more frequent in the r-tPA group as compared with the control group though the number of patients involved in this analysis (n=41) was relatively small. There was no significant difference in infarct volume and infarct growth between the 2 groups.

In prespecified subgroup analyses of the primary end point, a significant interaction was apparent between treatment groups and premorbid antithrombotic medication (Figure 3). In patients with premorbid antithrombotic medication, favorable outcome was more frequent in the alteplase group though the number of patients (n=40) was small. In additional subgroup analyses of the primary end point, patients with NIHSS \geq 5, those who had culprit arterial occlusion, those with baseline Alberta Stroke Program Early CT Score on DWI <10, or those with acute ischemic lesion on 24-hour FLAIR in the alteplase group tended to show a higher

Table 1.	Baseline	Characteristics	of Patients
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Variables	Alteplase Group (n=70)	Control Group (n=61)					
Age, y; mean±SD	73.2±12.4	75.8±11.9					
Female sex, n (%)	25 (36)	30 (49)					
Medical history, n (%)	·						
Hypertension	49 (70)	41 (67)					
Diabetes mellitus	14 (20)	12 (20)					
Dyslipidemia	23 (33)	23 (38)					
Atrial fibrillation	27 (39)	21 (34)					
History of ischemic stroke/TIA	8 (11)	14 (23)					
Reasons for unknown time of symp	otom onset, n (%)						
Sleep	53 (76)	40 (66)					
Other*	17 (24)	21 (34)					
NIHSS score, median (IQR)†	7 (4–13)	7 (5–12)					
Vessel occlusion site on MRA, n (%)						
Any	19 (27)	22 (36)					
Internal carotid artery	1 (1)	2 (3)					
Middle cerebral artery (M1)	6 (9)	8 (13)					
Middle cerebral artery (M2)	11 (16)	11 (18)					
Posterior cerebral artery	1 (1)	0					
Basilar artery	0	1 (2)					
DWI-ASPECTS, median (IQR)	9 (8–10)	9 (8–10)					
Lesion volume of DWI, mL; median (IQR)	3.5 (0.8–14.2)	1.9 (0.3–11.1)					
Time from last-known-well to symptom recognition, median (IQR), h	7 (5.5–9)	7 (5.5–9)					
Time from symptom recognition to MRI, median (IQR), h	2.0 (1.5–2.8)	2.0 (1.5–2.8)					
Time from start of MRI to randomization, median (IQR), min	52.0 (35.7–70.3)	44.1 (33.0–62.4)					
Time from symptom recognition to randomization, median (IQR), h	3.1 (2.4–3.7)	2.9 (2.3–3.8)					
Time from last-known-well to randomization, median (IQR), h	10.2 (8.2–12.2)	10.3 (7.7–11.8)					
DWI indicates diffusion-weighted imaging: DWI-ASPECTS Alberta Strok							

DWI indicates diffusion-weighted imaging; DWI-ASPECTS, Alberta Stroke Program Early CT Score on DWI; IQR, interquartile range; MRA, magnetic resonance angiography; MRI, magnetic resonance imaging; NIHSS, National Institutes of Health Stroke Scale; and TIA, transient ischemic attack.

*Symptom onset was unknown due to aphasia, coma, dementia, or other.

 $\ensuremath{\uparrow}\xspace{NIHSS}$ scores range from 0 to 42, with higher scores indicating more severe neurological deficit.

percentage of favorable outcome as compared with those in the control group, although no significant differences were evident (Figure 3).

Safety Outcomes

In the safety analysis set, sICH at 22 to 36 hours occurred in one of 71 patients (1.4%) in the alteplase group and none of 60 patients in the control group (RR, infinity [95% CI, 0.06 to infinity]; P>0.999; Table 3). No patients experienced major extracranial bleeding in either group (Table 3). Two of the 70 patients (2.8%) in the alteplase group and 2 of 61 patients (3.3%) in the control group died within 90 days (RR, 0.85 [95% CI, 0.06–12.58]; P>0.999) (Table 3). Causes of death were heart failure in 1 patient each for both groups, gastric cancer in another patient in the control group who showed sudden death. In the alteplase group, 9 patients (12.7%) experienced a serious adverse event, as compared with 6 patients (10%) in the control group (P=0.632). Detailed lists of any and serious adverse events are provided in Table III in the Data Supplement.

Discussion

We compared intravenous thrombolysis with alteplase at 0.6 mg/kg against standard medical treatment in patients with stroke symptoms on waking or with unknown time of onset who had no clearly visible signal change on FLAIR. No difference in the proportion of favorable outcome as defined by mRS score 0 to 1 was evident between the alteplase and standard treatment groups. Early termination with the small sample size precluded definitive conclusions. Regarding the safety profile, the proportion of sICH and that of death did not differ between groups. Therefore, the safety of intravenous alteplase at 0.6 mg/kg was comparable to standard treatment in acute ischemic stroke on waking or with unknown time of onset who showed a negative FLAIR finding.

A well-designed single-arm trial (MR-WITNESS) and a randomized controlled trial (WAKE-UP) both tested alteplase at 0.9 mg/kg in patients with acute ischemic stroke with unknown time of onset.^{1,14} The proportion of favorable outcome at 3 months after onset in our alteplase group (47.1%) was comparable to results from MR WITNESS (43.5% in patients with premorbid mRS score 0-1) and WAKE-UP (53.3%). Median NIHSS in the alteplase group was similar among the 3 clinical trials, at 7 (IOR, 4–13) in this trial, 7.5 (IOR, 4.3–13.8) in MR WITNESS, and 6 (IQR, 4-9) in WAKE-UP.1,14 Efficacy of alteplase at 0.6 mg/kg thus may not be inferior to that at 0.9 mg/kg. Regarding the safety profile, the proportion of sICH in the alteplase group was 1.4% (SITS-MOST criteria: parenchymal hematoma type II with deterioration in NIHSS score by \geq 4) in this trial, 1.3% (ECASS III criteria: any intracranial hemorrhage with deterioration in NIHSS score by ≥ 4) in MR WITNESS, and 2.0% (SITS-MOST criteria) in WAKE-UP. Mortality at 3 months in the alteplase group was 2.8% in this trial, 8.8% in MR WITNESS, and 4.1% in WAKE-UP. Because the negative FLAIR pattern indicates that ischemic stroke occurred within the preceding 4.5 hours,^{15,16} MRI-based patient selection using the FLAIR negative pattern is a reasonable method offering acceptable safety profiles.

On the other hand, the proportion of favorable outcome at 3 months after onset in the control group (48.3%) appeared high as compared with that of WAKE-UP (41.8%). The proportion of sICH in the control group was 0% in this trial and 0.4% in WAKE-UP. The mortality rate in the control group was 3.3% in this trial and 1.2% in WAKE-UP. Somewhat surprisingly, about half of the patients with acute ischemic stroke with unknown time of onset and negative FLAIR finding showed mRS score 0 to 1 at 3 months.

Tab	le 2.	Primary a	and Secondai	y Efficacy a	ind Ima	ging Outcomes
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Outcome	Alteplase Group (n=70)	Control Group (n=61)	Effect Variable	Value (95% CI)*	<i>P</i> Value
Primary efficacy end point	-	·		I	
Favorable outcome at 90 d—n/N (%, 95% Cl)†	32/68 (47.1, 34.8–59.6)	28/58 (48.3, 35.0–61.8)	Relative risk	0.97 (0.68–1.41)	0.89
Secondary efficacy end points					
Median score on modified Rankin Scale at 90 d (IQR)‡	2 (1–3)	2 (0–3)	Common odds ratio	0.88 (0.47–1.63)	0.67
modified Rankin Scale score 0–2 at 90 d—n/N (%, 95% Cl)	40/68 (58.8, 46.2–70.6)	35/58 (60.3, 46.6–73.0)	Relative risk	0.97 (0.73–1.30)	0.86
Categorical shift in NIHSS score at 24 h after initiation of treatment from baseline, mean±SD (n)	-2.6±4.2 (70)	-2.8±3.9 (61)	Estimated difference	0.1 (–1.2 to 1.5)	0.85
Categorical shift in NIHSS score at 7 d after initiation of treatment from baseline, mean±SD (n)	-3.3±7.4 (68)	-4.3±4.2 (60)	Estimated difference	0.8 (-1.2 to 2.7)	0.44
Imaging end points					
Recanalization of culprit artery on MRA at 22–36 h	14/19 (73.7)	9/22 (40.9)	Relative risk	1.80 (1.02–3.64)	0.04
Infarct volume on FLAIR at 7 d, mL; mean±SD (n)	23.7±36.6 (66)	28.2±46.7 (60)	Estimated difference	-4.5 (-19.2 to 10.3)	0.55
Infarct growth on FLAIR at 7 d, mL; mean±SD (n)	14.6±28.7 (66)	18.1±34.5 (60)	Estimated difference	-3.5 (-14.6 to 7.7)	0.54
Sensitivity analyses					
Primary efficacy end point including patients	s who had 3-mo assessme	nt with unblinded assesso	r		
Favorable outcome at 90 d—n/N (%)†	33/70 (47.1)	29/61 (47.3)	Relative risk	0.99 (0.69–1.42)	0.52
Primary efficacy end point analyzed by a ma	rginal model with lineariza	tion-based method			
Favorable outcome at 90 d— n/N (%)†	32/66 (48.5)	28/57 (48.8)	Odds ratio	0.97 (0.48–1.97)	0.32
Primary efficacy end point analyzed with mu	Iltiple imputation method				
Favorable outcome at 90 d—n/N (%)†	32/66 (48.5)	28/57 (48.8)	Odds ratio	1.17 (0.54–2.54)	0.70
Per-protocol analysis					
Primary efficacy end point excluding patient	s who had major protocol v	violation			
Favorable outcome at 90 d—n/N (%)†	32/66 (48.5)	27/53 (50.9)	Relative risk	0.95 (0.66–1.37)	0.79
As-treated analysis					
Primary efficacy end point					
Favorable outcome at 90 d—n/N (%)†	32/69 (46.4)	28/57 (49.1)	Relative risk	0.94 (0.65–1.36)	0.76

FLAIR indicates fluid-attenuated inversion recovery; IQR, interquartile range; MRA, magnetic resonance angiography; and NIHSS, National Institutes of Health Stroke Scale.

*Relative risks, common odds ratios, and differences are for the alteplase group, as compared with the control group.

+A favorable outcome was defined as a modified Rankin Scale score 0 or 1 (full scale ranges from 0 [no symptoms] to 6 [death]) at 90 d.

\$#Between-group comparison of modified Rankin Scale scores was analyzed by fitting a proportional-odds model.

Better than expected outcome for the control group seems to be caused by the open treatment design that allows early initiation of antithrombotic therapy within the initial 24 hours. Antithrombotic therapy was initiated in 13% of alteplasetreated patients versus 85% of control patients within the initial 24 hours (Table II in the Data Supplement). In particular, dual antiplatelet therapy became common among patients with acute noncardioembolic stroke after publication of the CHANCE trial (Clopidogrel in High-Risk Patients with Acute Nondisabling Cerebrovascular Events) and a meta-analysis;^{17,18} the therapy was initiated in 3% of alteplase-treated patients versus 23% of control patients within the initial 24 hours, such intergroup changes were not present in the blinded treatment trials though direct evidence of better outcomes with dual antiplatelet therapy was not reported. Another reason for better than expected outcomes in both alteplase and control groups were that the efficacy and safety of acute mechanical thrombectomy were established in 2015.¹⁹ During the registration period of the THAWS trial, adding mechanical thrombectomy therapy became standard treatment for all kinds of acute ischemic stroke. Thereafter, patients with major artery occlusion were often excluded at the initial assessment and

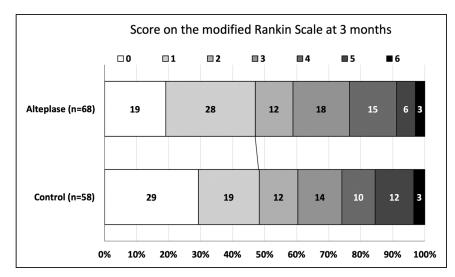


Figure 2. Distribution of scores on 90-d modified Rankin Scale (intention-to-treat population).

instead taken to the catheterization laboratory. For this reason, patient enrollment was slower than expected and neurological severity of enrolled patients shifted to milder population. The greater the proportion of patients with mild stroke symptoms involved, the smaller the difference in effect between alteplase and standard treatments that might be achieved, as shown by the recent PRISM trial.²⁰ Patients with mild stroke symptoms were likely to have had lacunar infarcts. In the secondary post hoc analysis of the WAKE-UP trial showed no better effect in outcome with alteplase.²¹ Finally, three-fifths of enrolled patients did not show magnetic resonance angiography-confirmed arterial occlusion. The frequency of arterial recanalization was somewhat high in the alteplase group (75%) as compared in the control group (58%), this would not have influenced overall outcomes.

Recently, the EXTEND trial (Extending the Time for Thrombolysis in Emergency Neurological Deficits) revealed the efficacy of thrombolysis between 4.5 and 9 hours after onset of stroke with a nonsignificant tendency toward an increase in sICH.²² EXTEND tested thrombolysis with alteplase at 0.9 mg/kg in patients with ischemic stroke, including wake-up stroke with significant penumbral mismatch on computed tomography or MRI. ECASS4, which was a similar trial to EXTEND, did not show the efficacy of

Prespecified Subgroup		Alteplase Group	Control Group			Relative risk (95%CI)		P Value for interaction
		n/N (%)	n/N (%)			neiderve risk (55%ei)		T Value for interaction
Dverall		32/68 (47.1)	28/58 (48.3)		• • ••		0.97 (0.68 to 1.41)	
ex	Men	24/43 (55.8)	15/30 (50.0)				1.12 (0.70 to 1.94)	0.25
	Women	8/25 (32.0)	13/28 (46.4)		━━		0.69 (0.31 to 1.38)	
Onset type	Wake-up stroke Unknown onset time	28/51 (54.9)	22/38 (57.9) 6/20 (30.0)		• • •		0.95 (0.64 to 1.46) 0.78 (0.21 to 2.45)	0.75
		4/17 (23.5)						
\ge	≥60 years old <60 years old	26/60 (43.3) 6/8 (75.0)	24/54 (44.4) 4/4 (100)		• -• •		0.98 (0.62 to 1.50) 0.75 (0.35 to 1.70)	-
lypertension	Present	21/47 (44.7)	15/39 (38.5)				1.16 (0.69 to 2.15)	0.26
	Absent	11/21 (52.4)	13/19 (68.4)				0.77 (0.42 to 1.31)	
iabetes mellitus	Present	6/13 (46.2)	8/12 (66.7)	•			0.69 (0.30 to 1.53)	0.29
	Absent	26/55 (47.3)	20/46 (43.5)		- -		1.09 (0.70 to 1.74)	
Vyslipidemia	Present	10/22 (45.5)	12/23 (52.2)				0.87 (0.42 to 1.64)	0.64
	Absent	22/46 (47.8)	16/35 (45.7)		- -		1.05 (0.65 to 1.82)	
leart disease	Present	12/27 (44.4)	7/19 (36.8)			-	1.21 (0.56 to 2.88)	0.51
	Absent	20/41 (48.8)	21/39 (53.9)				0.91 (0.57 to 1.44)	
iver dysfunction	Present	2/3 (66.7)	1/1 (100)		-		0.67 (0.09 to 18.90)	_
	Absent	30/65 (46.2)	27/57 (47.4)				0.97 (0.66 to 1.45)	
enal dysfunction	Present	0/3 (0.0)	2/12 (16.7)			<u> </u>	0.00 (0.00 to 9.62)	_
	Absent	32/65 (49.2)	26/46 (56.5)			_	0.87 (0.61 to 1.27)	
listory of ischemic stroke or TIA	Present	4/7 (57.1)	3/13 (23.1)		<u> </u>		2.48 (0.64 to 11.0)	0.08
,	Absent	28/61 (45.9)	25/45 (55.6)				0.83 (0.56 to 1.23)	
rior antithrombotic medication	Present	10/17 (58.8)	6/23 (26.1)				2.25 (1.00 to 5.68)	<0.01
	Absent	22/51 (43.1)	22/35 (62.9)				0.69 (0.44 to 1.07)	40.01
NHSS	≥12 points	5/20 (25.0)	3/16 (18.8)				1.33 (0.36 to 11.49)	0.61
11135	<12 points	27/48 (56.3)	25/42 (59.5)				0.95 (0.65 to 1.39)	0.01
3			0	01 0.1	1	10	100	
		Alteplase Group	Control Group	01 0.1	1			
Additional Subgroup		n/N (%)	n/N (%)			Relative risk (95%CI)		P Value for interacti
verall		32/68 (47.1)	28/58 (48.3)				0.97 (0.68 to 1.41)	
aseline NIHSS	≥5	22/51 (43.1)	17/43 (39.5)				1.09 (0.67 to 1.77)	0.35
	<5	10/17 (58.8)	11/15 (73.3)				0.80 (0.49 to 1.32)	0.55
ulprit arterial occlusion	Present	9/19 (47.4)	6/22 (27.3)			_	1.74 (0.76 to 3.99)	0.10
	Absent	25/51 (49.0)	23/39 (59.0)		⊷∎⊷		0.83 (0.57 to 1.22)	0.10
aseline ASPECTS	<10 points	22/49 (44.9)	15/39 (38.5)		- <u>i</u>		1.17 (0.71 to 1.93)	0.25
asellile ASPECTS	10 points	10/19 (52.6)	13/19 (68.4)				0.77 (0.46 to 1.30)	0.25
nfarct on 24-hour FLAIR	Present	29/63 (46.0)	22/50 (44.0)				1.05 (0.69 to 1.58)	0.99
marce on 24-nour FLAIK	Absent	3/5 (60.0)	4/4 (100)	-			0.60 (0.29 to 1.23)	0.99
	Present	8/14 (57.1)	5/9 (55.6)				1.03 (0.49 to 2.16)	0.50
ecanalization on 24-hour MRA (n=41)	Absent	1/5 (20)	1/13 (7.7)	-		,	2.60 (0.20 to 34.07)	0.56
	Present	3/8 (37.5)	25/50 (50.0)	-	_		0.75 (0.29 to 1.91)	
ntithrombotic therapy within 24 hours	Absent	29/60 (48.3)	3/8 (37.5)			-	1.29 (0.51 to 3.27)	0.39
				0.1	1	10	100	
				◀ 0.1		10	→	

Figure 3. Prespecified (A) and additional (B) subgroup analyses: relative risks and 95% CIs for favorable outcome. ASPECTS indicates Alberta Stroke Program Early CT Score; FLAIR, fluid-attenuated inversion recovery; MRA, magnetic resonance angiography; and NIHSS, National Institutes of Health Stroke Scale.

Table 3. Safety Outcomes

Outcome	Alteplase Group (n=71)	Control Group (n=60)	Relative Risk (95% CI)*	P Value
Symptomatic intracranial hemorrhage, n (%)†	1 (1.4)	0	Infinity (0.06 to infinity)	>0.99
Major extracranial bleeding, n (%)‡	0	0	NA	NA
Death at 90 d, n (%)	2 (2.8)	2 (3.3)	0.85 (0.06–12.58)	>0.99

NA indicates not applicable.

*Odds ratios were not adjusted.

 \pm Symptomatic intracranial hemorrhage was defined by an increase in National Institutes of Health Stroke Scale score by \geq 4 from baseline and parenchymal hematoma type II on magnetic resonance imaging at 22 to 36 h after initiation of treatment.

[‡]Major extracranial bleeding was defined as major bleeding except intracranial hemorrhage in clinical investigations of antihemostatic medicinal products in nonsurgical patients.⁹

thrombolysis, probably due to the small number of patients.²³ In contrast to the EXTEND and ECASS4 trials, which involved patients with penumbral imaging mismatch mainly due to major artery occlusion, the THAWS trial involved many patients without major artery occlusion and who were thus likely to have had small-vessel disease. The WAKE-UP and MR-WITNESS trials might have had the same problem, in that patients with moderate to severe stroke may have joined the trial less frequently because of the predominant performance of thrombectomy. However, this problem might have most strongly influenced our trial due to the later registration period.

The strength of this trial was the identical imaging and clinical criteria to the WAKE-UP trial; this would make the comparison of results between the 2 trials straightforward. The baseline DWI lesion volume was similar to that of the WAKE-UP trial. Of the 131 patients, 39 had a baseline DWI Alberta Stroke Program Early CT Score of 10 followed by 9 cases with no lesions seen on FLAIR at 7 days. Although these cases showed certain neurological deficits (median NIHSS 5 for both), and even blurry equivocal lesions did not meet the measures of Alberta Stroke Program Early CT Score, some of these cases might have represented stroke mimics.

Some limitations to this trial need to be considered. First, the premature termination of the trial resulted in a smaller number of patients than planned. The second key limitation was the open treatment design, which might have affected the treatment process during the observational period. Third, the exclusion to perform mechanical thrombectomy may have caused a selection bias. Finally, the present dose of alteplase (0.6 mg/kg) differed from that used in the WAKE-UP and MR-WITNESS trials. This difference might make comparison among trials difficult.

Conclusions

No difference in favorable outcome was seen between alteplase and control groups in patients with unknown time of stroke onset and negative FLAIR findings. In contrast, we confirmed the safety of alteplase at 0.6 mg/kg in these patients. The trial seemed to include many patients with small-vessel disease that had no large artery occlusion, for which early initiation of aggressive antithrombotic treatment might be effective as compared with thrombolysis.

Collaborative analyses with similar trials are planned to assess effective treatments in patients with unknown time of stroke onset.

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